SEC Form 4	
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Common Stock

11/04/2020

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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

1	•	
	hours per response:	0.5
	Estimated average burd	en
	OMB Number:	3235-0287

By

Spouse

Ι

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Peltz Stuart Walter			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>PTC THERAPEUTICS, INC.</u> [ PTCT ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
I I					e of Earliest Transa :/2020	ction (N	1onth/I	Day/Year)	X	X Officer (give title Other (specify below) below) Chief Executive Officer				
(Street) SOUTH PLAINFIELD	NJ	07080		4. If Ar	mendment, Date of	Origina	I Filed	(Month/Day/Y	6. Indi Line) X					
(City)	(State)	(Zip)	n Deriva		Securities Acq	uirod	Die	nocod of	or Bon	oficially	Owned			
1. Title of Security		able I - NO	2. Transac Date (Month/Da	tion	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of 5)	Acquired	(A) or	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount (A) or Pri		Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		11,037	D	\$60	12,178 <sup>(2)</sup>	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		4,900	A	\$27.05	17,078	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		4,900	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		3,440	A	\$30.86	15,618	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		3,440	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		500	A	<b>\$12.64</b>	12,678	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		500	D	\$ <del>6</del> 0	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		1,575	A	\$11.23	13,753	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		1,575	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		2,505	A	\$18.01	14,683	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		2,505	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		7,560	A	\$30.86	19,738	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		7,560	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		2,362	A	\$11.23	14,540	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		2,362	D	\$60	12,178	I	By Spouse	
Common Stock			11/04/2	2020		<b>M</b> <sup>(1)</sup>		7,065	A	\$18.01	19,243	I	By Spouse	
Common Stock			11/04/2	2020		S <sup>(1)</sup>		7,065	D	\$ <u>60</u>	12,178	I	By Spouse	
Common Stock			11/04/2	2020		M <sup>(1)</sup>		8,421	A	\$33.02	20,599	I	By Spouse	

**S**<sup>(1)</sup>

8,421

D

\$<mark>60</mark>

12,178

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Secu Acq (A) C Disp of (D	osed )) tr. 3, 4	6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right To Buy)	\$27.05	11/04/2020		<b>M</b> <sup>(1)</sup>			4,900	(3)	01/28/2024	Common Stock	4,900	\$27.05	0	Ι	By Spouse
Stock Option (Right To Buy)	\$30.86	11/04/2020		M <sup>(1)</sup>			3,440	(3)	01/03/2026	Common Stock	3,440	\$30.86	7,560	I	By Spouse
Stock Option (Right To Buy)	\$12.64	11/04/2020		<b>M</b> <sup>(1)</sup>			500	(4)	11/16/2026	Common Stock	500	\$12.64	375	I	By Spouse
Stock Option (Right To Buy)	\$11.23	11/04/2020		<b>M</b> <sup>(1)</sup>			1,575	(5)	01/02/2027	Common Stock	1,575	\$11.23	4,725	I	By Spouse
Stock Option (Right To Buy)	\$18.01	11/04/2020		<b>M</b> <sup>(1)</sup>			2,505	(6)	01/02/2028	Common Stock	2,505	\$18.01	20,464	I	By Spouse
Stock Option (Right to Buy)	\$30.86	11/04/2020		<b>M</b> <sup>(1)</sup>			7,560	(3)	01/03/2026	Common Stock	7,560	\$30.86	0	I	By Spouse
Stock Option (Right to Buy)	\$11.23	11/04/2020		<b>M</b> <sup>(1)</sup>			2,362	(5)	01/02/2027	Common Stock	2,362	\$11.23	2,363	I	By Spouse
Stock Option (Right to Buy)	\$18.01	11/04/2020		<b>M</b> <sup>(1)</sup>			7,065	(6)	01/02/2028	Common Stock	7,065	\$18.01	13,399	I	By Spouse
Stock Option (Right To Buy)	\$33.02	11/04/2020		<b>M</b> <sup>(1)</sup>			8,421	(7)	01/21/2029	Common Stock	8,421	\$33.02	18,529	I	By Spouse
Stock Option (Right To Buy)	\$490.8							(3)	04/27/2021	Common Stock	58		58	I	By Spouse
Stock Option (Right To Buy)	\$218.4							(3)	01/10/2022	Common Stock	38		38	I	By Spouse
Stock Option (Right To Buy)	\$51							(3)	01/02/2025	Common Stock	10,880		10,880	I	By Spouse
Stock Option (Right to Buy)	\$51.16							(8)	01/28/2030	Common Stock	28,600		28,600	I	By Spouse

## **Explanation of Responses:**

1. The transactions reported in this Form 4 were effected pursuant to a written Rule 10b5-1 plan adopted by the Reporting Person's spouse on May 11, 2020.

2. Includes 258 shares of common stock acquired under the Issuer's employee stock purchase plan for the period ending June 30, 2020.

3. Currently exercisable.

4. This option was granted on November 17, 2016 and vests over four years, with 25% of the shares underlying the option vesting on November 17, 2017, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on February 17, 2018.

5. This option was granted on January 3, 2017 and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2018, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2018.

6. This option was granted on January 3, 2018 and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2019, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2019.

7. This option was granted on January 22, 2019 and vests over four years, with 25% of the shares underlying the option vesting on January 22, 2020, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 22, 2020.

8. This option was granted on January 29, 2020 and vests over four years, with 25% of the shares underlying the option vesting on January 29, 2021, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 29, 2021.

**Remarks:** 

## /s/ Avraham S. Adler, attorney-11/06/2020

Date

<u>in-fact</u> \*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.