SEC Form 4

Stock Option (Right To Buy)

\$33.02

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287										
Estimated average burden										
hours per response: 0.5										

Sectio obligat	this box if no lo n 16. Form 4 or tions may contin ction 1(b).	onger subject to Form 5 nue <i>. See</i>	STA		led pu	rsuar	nt to Se	ection 16	(a) of the S	ecuri	ies Excha	nge Ac	t of 193		HIP	Estim		er: verage burde sponse:	3235-0287 n 0.5	
1. Name and Address of Reporting Person* Peltz Stuart Walter						or Section 30(h) of the investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol PTC THERAPEUTICS, INC. [PTCT]									5. Relationship of Reporting Person(s) to Iss (Check all applicable) X Director 10% O				wner	
(Last)(First)(Middle)C/O PTC THERAPEUTICS, INC.100 CORPORATE COURT							3. Date of Earliest Transaction (Month/Day/Year) 05/20/2020									X Officer (give title Other (specify below) below) Chief Executive Officer				
(Street) SOUTH NJ 07080 PLAINFIELD						4. If Amendment, Date of Original Filed (Month/Day/Year)								Line	6. Individual or Joint/Group Filing (Check Applica Line) X Form filed by One Reporting Person Form filed by More than One Reporting				n	
(City)	(S	tate)	(Zip)		-										Person					
		Та	ble I - No	n-Deri	vativ	/e S	ecuri	ities A	cquired,	Dis	posed	of, or	Bene	eficiall	y Owned					
1. Title of Security (Instr. 3)					. Transaction ate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.					3, 4 and 5) Securitie Benefici Owned F Reporter		es For ally (D) Following (I) (d		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				05/2	05/20/2020					v	Amount 33,788		(A) or (D) A	Price \$10.8	(Instr. 3 a	ction(s) 3 and 4) 9,873		D		
Common Stock				05/20/2020					M ⁽¹⁾ S ⁽¹⁾		33,78	33,788		\$50	56,085					
			Table II -												Owned			'		
1. Title of	2.	3. Transaction	3A. Deemed	1	4.	-	5. N	umber	S, Optio	ercis	able and	7. Tit	le and A	mount	8. Price of	9. Numbe		10.	11. Nature	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	or Exercise (Month/Day/Year) if any Price of (Month/Day/Year) Derivative				action Instr.			Expiration Date (Month/Day/Year)			of Securities Underlying Derivative Secur (Instr. 3 and 4)		curity	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Co		v			Date Exercisab		Expiration Date	or Nu		mount r umber f Shares						
Stock Option (Right To Buy)	\$10.85	05/20/2020			M ⁽¹⁾			33,788	(2)	C	5/15/2023	Comr Stor		33,788	\$10.85	203,0	30	D		
Stock Option (Right To Buy)	\$490.8								(2)	C	4/27/2021	Comr Sto		541		541		D		
Stock Option (Right To Buy)	\$218.4								(2)	C	1/10/2022	Comr Sto		416		416		D		
Stock Option (Right To Buy)	\$10.85								(2)	C	5/15/2023	Comr Stor		10,694		110,694		D		
Stock Option (Right To Buy)	\$27.05								(2)	C	1/27/2024	Comr Stor		00,000		200,0	00	D		
Stock Option (Right To Buy)	\$51								(2)	C	1/01/2025	Comr Stor		00,000		300,0	00	D		
Stock Option (Right To Buy)	\$30.86								(2)	C	1/03/2026	Comr Stor		75,000		175,0	00	D		
Stock Option (Right To Buy)	\$11.23								(3)	C	1/02/2027	Comr Stor		26,000		126,0	00	D		
Stock Option (Right To Buy)	\$18.01								(4)	c	1/02/2028	Comr Stor		00,000		200,0	00	D		

Common Stock

265,000

265,000

D

01/21/2029

(5)

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title an of Securit Underlyin Derivative (Instr. 3 ar	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number Title of Shares				
Stock Option (Right To Buy)	\$33.02							(6)	01/21/2029	Common Stock	55,000		55,000	D	
Stock Option (Right To Buy)	\$51.16							(7)	01/28/2030	Common Stock	192,500		192,500	D	

Explanation of Responses:

1. This transaction was effected pursuant to a written Rule 10b5-1 plan adopted by the Reporting Person on March 5, 2020.

2. Currently exercisable.

3. This option was granted on January 3, 2017, and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2018, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2018.

4. This option was granted on January 3, 2018, and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2019, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2019.

5. This option was granted on January 22, 2019 and vests over four years, with 25% of the shares underlying the option vesting on January 22, 2020, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 22, 2020.

6. This option was granted on January 22, 2019 and vests over four years, with 50% of the shares underlying the option vesting on January 22, 2022, and the remaining 50% of the original number of shares underlying the option vesting on January 22, 2023.

7. This option was granted on January 29, 2020 and vests over four years, with 25% of the shares underlying the option vesting on January 29, 2021, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 29, 2021.

Remarks:

/s/ Avraham S. Adler, attorneyin-fact 05/22/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.