SEC Form 4
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FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average bur	rden
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		T OF CHANGES IN BENEFICIAL OWNE	RSHI	P	OMB Number: Estimated average hours per response	
1. Name and Address of Reporting Person*		or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name <b>and</b> Ticker or Trading Symbol		onship of Re all applicable	eporting Person(s)	) to Issuer
Peltz Stuart Walter		PTC THERAPEUTICS, INC. [ PTCT ]	l`	Director Officer (give	1	L0% Owner
(Last) (First) (M C/O PTC THERAPEUTICS, INC. 100 CORPORATE COURT	PEUTICS, INC. 10/05/2020					Other (specify below) Officer
(Street) SOUTH NJ 0	7080	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individ Line) X		/Group Filing (Che by One Reporting	
				Form filed Person	by More than One	Reporting

(City)

(St	ate)	(Zip)

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Hon Derivative Occurrics Acquired, Disposed of, of Derenolary Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Disposed Of	Acquired (D) (Instr.	(A) or 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	10/05/2020		<b>M</b> <sup>(1)</sup>		900	A	\$10.85	56,985	D			
Common Stock	10/05/2020		<b>S</b> <sup>(1)</sup>		900	D	\$50	56,085	D			
Common Stock	10/05/2020		<b>M</b> <sup>(1)</sup>		54,560	A	\$10.85	110,645	D			
Common Stock	10/05/2020		<b>S</b> <sup>(1)</sup>		54,560	D	<b>\$50</b>	56,085	D			
Common Stock	10/06/2020		<b>M</b> <sup>(1)</sup>		50,015	A	\$10.85	106,100	D			
Common Stock	10/06/2020		S <sup>(1)</sup>		50,015	D	<b>\$50.102</b> <sup>(2)</sup>	56,085	D			
Common Stock	10/06/2020		M <sup>(3)</sup>		22,511	A	\$10.85	78,596	D			
Common Stock	10/06/2020		<b>S</b> <sup>(3)</sup>		22,511	D	\$50.148(4)	56,085	D			
Common Stock	10/06/2020		<b>M</b> <sup>(1)</sup>		51,825	A	\$11.23	107,910	D			
Common Stock	10/06/2020		<b>S</b> <sup>(1)</sup>		51,825	D	\$50.137(5)	56,085	D			

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puis, cans, warants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Seci Acq (A) o Disp of (E	umber vative urities uired or oosed o) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right To Buy)	<b>\$10.85</b>	10/05/2020		M <sup>(1)</sup>			900	(6)	05/15/2023	Common Stock	900	\$10.85	102,130	D	
Stock Option (Right To Buy)	\$10.85	10/05/2020		M <sup>(3)</sup>			54,560	(6)	05/15/2023	Common Stock	54,560	\$10.85	56,134	D	
Stock Option (Right to Buy)	\$10.85	10/06/2020		M <sup>(1)</sup>			50,015	(6)	05/15/2023	Common Stock	50,015	\$10.85	52,115	D	
Stock Option (Right to Buy)	\$10.85	10/06/2020		M <sup>(3)</sup>			22,511	(6)	05/15/2023	Common Stock	22,511	\$10.85	33,623	D	
Stock Option (Right To Buy)	\$11.23	10/06/2020		M <sup>(1)</sup>			51,825	(7)	01/02/2027	Common Stock	51,825	\$11.23	74,175	D	
Stock Option (Right To Buy)	\$490.8							(6)	04/27/2021	Common Stock	541		541	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																																								
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (E	umber vative urities uired or oosed O) (Instr. and 5)	Expiration Date (Month/Day/Year)		Expiration Date		Expiration Date		Expiration Date		Expiration Date (Month/Day/Year)		Expiration Date		Expiration Date (Month/Day/Year)		Expiration Date		Expiration Date (Month/Day/Year)		Expiration Date (Month/Day/Year)		Expiration Date		Expiration Date (Month/Day/Year)				e of Securit ear) Underlyin Derivative		of Securities Underlying Derivative Security		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable			Amount or Number of Shares																														
Stock Option (Right To Buy)	\$218.4							(6)	01/10/2022	Common Stock	416		416	D																											
Stock Option (Right To Buy)	\$27.05							(6)	01/27/2024	Common Stock	200,000		200,000	D																											
Stock Option (Right To Buy)	\$51							(6)	01/01/2025	Common Stock	300,000		300,000	D																											
Stock Option (Right To Buy)	\$30.86							(6)	01/03/2026	Common Stock	175,000		175,000	D																											
Stock Option (Right To Buy)	\$18.01							(8)	01/02/2028	Common Stock	200,000		200,000	D																											
Stock Option (Right To Buy)	\$33.02							(9)	01/21/2029	Common Stock	265,000		265,000	D																											
Stock Option (Right To Buy)	\$33.02							(10)	01/21/2029	Common Stock	55,000		55,000	D																											
Stock Option (Right To Buy)	\$51.16							(11)	01/28/2030	Common Stock	192,500		192,500	D																											

#### Explanation of Responses:

1. This transaction was effected pursuant to a written Rule 10b5-1 plan adopted by the Reporting Person on September 3, 2020.

2. This price represents the weighted average price of sale transactions that were executed in multiple trades at prices ranging from \$50.00 to \$50.12 per share. The Reporting Person hereby undertakes, upon request by the SEC staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

3. This transaction was effected pursuant to a written Rule 10b5-1 plan adopted by the Reporting Person on August 11, 2020.

4. This price represents the weighted average price of sale transactions that were executed in multiple trades at prices ranging from \$50.00 to \$50.47 per share. The Reporting Person hereby undertakes, upon request by the SEC staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

5. This price represents the weighted average price of sale transactions that were executed in multiple trades at prices ranging from \$50.00 to \$50.62 per share. The Reporting Person hereby undertakes, upon request by the SEC staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

6. Currently exercisable.

7. This option was granted on January 3, 2017, and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2018, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2018.

8. This option was granted on January 3, 2018, and vests over four years, with 25% of the shares underlying the option vesting on January 3, 2019, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 3, 2019.

9. This option was granted on January 22, 2019 and vests over four years, with 25% of the shares underlying the option vesting on January 22, 2020, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 22, 2020.

10. This option was granted on January 22, 2019 and vests over four years, with 50% of the shares underlying the option vesting on January 22, 2022, and the remaining 50% of the original number of shares underlying the option vesting on January 22, 2023.

11. This option was granted on January 29, 2020 and vests over four years, with 25% of the shares underlying the option vesting on January 29, 2021, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning April 29, 2021.

**Remarks:** 

### <u>/s/ Avraham S. Adler, attorney-</u> in-fact <u>10/07/2020</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.